

EXPRESSION OF INTEREST (EOI)
FOR
SELECTION OF AN AGENCY FOR THE
CONSTRUCTION AND OPERATIONS OF 7.5 MLD
COMMON EFFLUENT TREATMENT PLANT (CETP)
AT THE GORAKHPUR INDUSTRIAL AREA
for
GORAKHPUR INDUSTRIAL DEVELOPMNT
AUTHORITY

25/11/2020



Gorakhpur Industrial Development Authority,
Gorakhpur



Gorakhpur Industrial Development Authority
Sec.-7, GIDA, Gorakhpur

File No: _____

Dt: 25 November 2020

Expression of Interest

Gorakhpur Industrial Development Authority (GIDA) is inviting Expression of Interest from firms/agencies which have experience in conducting same nature of work, for **“Construction and operations of a 7.5 MLD Common Effluent Treatment Plant at GIDA Area in Gorakhpur”**.

The EOI document will be available online on our website <http://gidagkp.org> 25.11.2020 onwards. For details please visit the above-mentioned link.

Interested firms/ agencies are requested to submit their response along with all the relevant documents in soft copy on email id tenders.gida@gmail.com and in hard copy, on or before 10.12.2020, 16:00 hrs, on the address mentioned below:

CEO,
Gorakhpur Industrial Development Authority
(GIDA)
Sec.-7, GIDA, Gorakhpur-273212

Sd/- Chief Executive Officer

Gorakhpur Industrial Development Authority
(GIDA)

Notice inviting EOI

1. Gorakhpur Industrial Development Authority (GIDA) invites sealed responses from firms/ agencies meeting the eligibility criteria, for **“Selection of an Agency for the construction and operations of 7.5 MLD Common Effluent Treatment Plant (CETP) at the Gorakhpur Industrial Area”** in Gorakhpur.
2. The EOI document can be downloaded from the GIDA website i.e. <http://gidagkp.org>.
3. Details such as technical eligibility, financial eligibility, date and time for pre-proposal conference, scope of work is provided in the EOI document.
4. Only firms/ agencies meeting the eligibility criteria are requested to submit the proposal.
5. Sealed complete proposals should reach the undersigned through registered post/ speed post or courier service and mailed to tenders.gida@gmail.com up to 16:00 hrs on 10.12.2020 and the proposals shall be opened on 14.12.2020 at 17:00 hrs.
6. Please note that no liability will be accepted for difficulties in and or incomplete download of EOI document.
7. The client reserves the right to cancel the entire process or part of it, at any stage without assigning any reason thereof.

K R Verma,
Senior Manager, Civil,
Gorakhpur Industrial Development Authority (GIDA)
Sec.-7, Gida Gorakhpur-273212

Gorakhpur Industrial Development Authority (GIDA) invites online responses (“Proposals”/“Applications”) to this Expression of Interest (“EOI”) from eligible Firms/agencies to be appointed for **“Construction and operations of a Common Effluent Treatment Plant (CETP) at the Gorakhpur Industrial Area)”**. Interested Firms/agencies are advised to study this EOI document carefully before submitting their proposals in response to the EOI document. Submission of a proposal in response to this EOI shall be deemed to have been done after careful study and examination of this document with full understanding of its terms, conditions and implications. Interested Firms/agencies to download the EOI document from our website <http://gidagkp.org>. The details are as under.

Sl. No.	Event’s Name	Information
1.	Organisation	Gorakhpur Industrial Development Authority (GIDA)
2.	NIT No./ ref number	
3.	Name of work	Construction and operations of a Common Effluent Treatment Plant (CETP) at the Gorakhpur Industrial Area)
4.	EOI document downloading start date	25/11/2020
5.	Last date for pre-proposal conference queries	04/12/2020
6.	Date, Time & Place of pre-proposal conference	Online mode, 8/12/2020 at 1500 hours on the link provided below:
		https://teams.microsoft.com/l/meetup-join/19%3ameeting_ZGZmOWVmNmUtOTFlZi00MGU1LWEzYWYtMzkyMzQ3NTlhN2Jk%40thread.v2/0?context=%7b%22Tid%22%3a%225b973f99-77df-4beb-b27d-aa0c70b8482c%22%2c%22Oid%22%3a%22d14cd870-8b18-465e-8db3-213bd86114a0%22%7d
7.	Last date of online submission and hard copy submission of proposal	10/12/2020 by 1600 hours
8.	Date of opening of proposal	14/12/2020
9.	Date of presentation	Online mode, 17/12/2020

Disclaimer

The information contained in this Expression of Interest (EOI) or subsequently provided to Applicants, whether verbally or in documentary or any other form by or on behalf of the Gorakhpur Industrial Development Authority (GIDA) (henceforth referred to as GIDA in this document /“the Authority”) or any of its employees or advisers, shall be considered confidential and not to be reproduced /transmitted /adopted /displayed for any purpose whatsoever.

This EOI is one of the steps in the process of selection of an entity for the project for **Selection of an Agency for the Construction and operations of a 7.5 MLD Common Effluent Treatment Plant (CETP) at the Gorakhpur Industrial Area.**

This EOI is not an agreement or an offer by the Authority to the Applicants or any other person. The purpose of this EOI is to provide interested parties with information that may be useful to them in the formulation of their Proposals pursuant to a specific Request for Proposal (RFP).

This EOI includes statements, which reflect various assumptions and assessments arrived at by the Authority /Employer in relation to the Project. Such assumptions, assessments and statements do not purport to contain all the information that each applicant may require. This EOI may not be appropriate for all persons, and it is not possible for the Authority /Employer, its employees or advisers to consider the objectives, technical expertise and particular needs of each Applicant who reads or uses this EOI. The assumptions, assessments, statements and information contained in this EOI, may not be complete, accurate, adequate or correct. Each Applicant should, therefore, conduct its own investigations and analysis and should check the accuracy, adequacy, correctness, reliability and completeness of the assumptions, assessments and information contained in this EOI and obtain independent advice from appropriate sources.

The information provided in this EOI is not intended to be an exhaustive account of statutory requirements and should not be regarded as a complete or authoritative statement of law. The Authority/ Employer accepts no responsibility for the accuracy or otherwise for any interpretation or opinion on the law expressed herein.

The Authority and its employees and advisers make no representation or warranty and shall have no liability to any person including any Applicant under any law, statute, rules or regulations or tort, principles of restitution or unjust enrichment or otherwise for any loss, damages, cost or expense which may arise from or be incurred or suffered on account of anything contained in this EOI or otherwise, including the accuracy, adequacy, correctness, reliability or completeness of the EOI and any assessment, assumption, statement or information contained therein or deemed to form part of this EOI or arising in anyway in this subject.

The Authority may in its absolute discretion, but without being under any obligation to do so, update, amend or supplement any information, objective, assessment or assumption contained in this EOI.

The issue of this EOI does not imply that the Authority is bound to select an Applicant for the project and the Authority reserves the right to reject all or any of the proposals / terminate the process at any time without assigning any reasons whatsoever.

The Applicant shall bear all its costs associated with or relating to the participation in this process regardless of the conduct or outcome of the process.

1 Project Background

1.1. Background

The Gorakhpur Industrial Development Authority is engaged in development and coordination of industrial area at GIDA. As part of this endeavour, the Authority has decided to undertake the **Construction and operations of a Common Effluent Treatment Plant (CETP) at the Gorakhpur Industrial Area** and has decided to invite the proposals from interested private parties and agencies as per the details provided in subsequent sections.

GIDA invites Expressions of Interest (“EOI”) from interested parties for the purpose of assessing the interest of parties and understand the various technologies available to construct the CETP.

The project is structured in such a way that Private Sector Participation is anticipated for construction and operations. The project will be developed and established under pre-specified performance standards.

GIDA shall define Minimum construction and operations Obligations for the private sector yet leave adequate flexibility for the developer to build the project in response to industrial demand and hence optimize collective returns.

1.2. Objectives & Structure

The objective of the project is to set up a 7.5 MLD Common Effluent Treatment Plant (CETP) at the Gorakhpur Industrial Area , which is expected to be upgraded up to 15 MLD in future, based on the demand generated due to the advent of industries in GIDA Area.

The objectives / scope of work shall include but not limited to:

- i. To identify various technologies that can be leveraged in the conversion of wastewater CETP
- ii. To test the viability of implementation and to cut down overall operations cost of the plant
- iii. To test feasibility of the project
- iv. To discover construction, operational and maintenance challenges
- v. To discover a sustainable model for construction and operations of Common Effluent Treatment Plant (CETP). This will help the GIDA to scale the solution for CETP with minimal OPEX outlays.
- vi. To engage with industry, builders, property operators etc. to develop a market for consumption of treated waste water.

1.3. Project Site

The site is located on the banks of the Aami River near Adilapar village. Site is surrounded by industries which is selected for the construction and operation of proposed CETP under Gorakhpur Industrial Development Authority.

LOCATION OF CETP / STP

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Samaiya drain

AGILAPPA
VILLAGE

Ami River

Figure 1.1: Land identified for Common Effluent Treatment Plant (CETP)

1.4. Site & its surroundings

The site at banks of the Aami River near Adilapar village, Gorakhpur, is identified as a site for construction of the Project. The Authority owns the site; measuring ~5 acre. The site is situated in the GIDA industrial area.

1.5. Site ownership

The site is under the ownership of Gorakhpur Industrial Development Authority, GIDA.

1.6. Scope of Work

1.6.1. Site visits and analysis:

The Agency shall be responsible of all carrying out site visit to the CETP site at Industrial Area/ Cluster to familiarize himself with the existing site conditions and shall be responsible for the following:

- i. Study of existing site conditions, site investigations & preparing site reports
- ii. Analysis of existing CETP and functioning of the sewage treatment process. Study of as-built drawings of the existing CETP
- iii. Development and necessary liaisoning and statutory approvals

1.6.2. Design of CEPT Plant

The scope of work shall include design at infrastructure level which shall include but not limited to designing for all the provisions, preparation of 3D views and presentations, preparation of all relevant drawings and getting approvals from all concerned authorities.

- i. Preparation and submission of conceptual design of the proposed CETP layout and any relevant reference images/ sketches for the unique individual design elements
- ii. Detailed design and drawings for the proposed CETP
- iii. Preparation of costing estimates and detailed BOQs for the proposed work & taking necessary approvals
- iv. Preparation and submission of GFC drawings and shop drawings for specialized items

1.6.3. Construction of the plant at the existing Industrial Area/Cluster CETP:

The scope of work during construction phase shall include analysis of existing structure, site preparation, landscaping, site development, construction, specialized items, installation and fabrication activities, execution of all civil, plumbing, sanitary works, fabrication, fabric covering, painting, electronic items, daily supervision, measurements, electrification activities, necessary connections to drainage/ water supply, etc. The following major activities are to be executed by the concessionaire:

- i. All civil activities related to the building of the CETP and associated approvals
- ii. All concerned electrification works
- iii. All necessary drainage works and connections
- iv. Provision of all relevant services
- v. Any relevant site development and fabrication works
- vi. All installation activities relating to the proposed units and components in the CETP
- vii. Preparation and submission of as-built drawings
- viii. Contract administration as well as periodic and time-to-time representation and reporting to GIDA

1.6.4. Finance for the project:

- i. The Agency shall be responsible for financing the project throughout the conceptualisation, designing, and operations & maintenance for 15 years of the plant.
- ii. Agency shall be granted all the rights to collect revenue from all the associated customers like industries, factories, clients, etc., which may desire to use the treated wastewater/sludge for multiple different purposes as desired by GIDA

1.6.5. Operations & Maintenance:

- i. Agency shall be responsible for full operational, maintenance and technical activities during the entire period of the contract.
- ii. Agency shall be responsible for managing and monitoring the complete structure of the CETP plant including all structures as well as software components during the entire period of contract.
- iii. The Agency shall be responsible for monitoring and ensuring all the design parameters of the plant and daily integration to the CEO of GIDA
- iv. During the duration of the contract, the Agency shall be required to conduct periodic checks of the operating plant for monitoring functioning, performance, and further perform desired chemistry of the outputs of the CETP and report accordingly the quality of the products to GIDA.
- v. The Agency shall be required to provide necessary information, support and MIS reports in the desired format to the GIDA and/or any of its authorized representatives to carry out forensic analysis at any point during the contract, if required.

Interested applicants are requested to submit their responses in the formats prescribed under 'Forms'. They may also provide documents in support of their approach, achievements / claims and compliance to eligibility criteria.

1.7. The Process

- ▶ The document can be downloaded from GIDA's website starting 25th Nov 2020.
- ▶ For evolving the best techno-economically feasible solution allowing non-proprietary functionalities / features / equipment / technology, it is essential to identify all system components, their operational requirements as well as capabilities, their mutual compatibility / interoperability, and formulating the minimum essential specifications, GIDA has planned to invite an open and interactive participation of all solution providers.
- ▶ It is envisaged that consortium of businesses in development of large Infrastructure projects will emerge to meet the long-term objectives of this project.
- ▶ The Firms/agencies need to give a detailed technical presentation to GIDA covering the following
 1. Details on technical solution envisaged in the project,
 2. Indicative approach to be adopted
 3. Suggest with proper justification, the various revenue modes which can be part of the EOI. The firms/agencies will have to provide techno –financial feasibility report on his own.

- ▶ GIDA will receive EOIs from full / part solution providers (“applicant”) who will participate in technical discussions with GIDA, demonstrate their solutions / capabilities / functionalities / features through detailed presentations. This presentation should provide a representative solution to integrate various features / aspects / functionalities / applications of the project as per the objective(s) set above. It is pertinent to mention that the applicant should have experience in large infrastructure projects in India or abroad. GIDA may visit these locations at its discretion of existing implementation by the applicant.
- ▶ Based on the learnings from Presentations; final specifications of the project will be drawn up for implementation.
- ▶ The applicants should submit the proposal within 50 pages, mentioning their top 10 credentials, resources required for the completion of project.
- ▶ The applicants shall involve in formulation of technical specifications of various system components for long term sustainable implementation of the project.
- ▶ The applicants shall also provide their inputs for identification and quantification of revenue streams, making their own assessments of revenue potential. Details, as necessary, may be shared with GIDA for suitable incorporation subsequently in the RFP document.
- ▶ Only lead applicants of the EOI will be invited to submit their technical and financial proposals in response to the RFP, in the method to be described in the RFP document. After technical and financial evaluations, in the method to be described in the RFP document, GIDA will identify the Most Preferred Partner for implementation of the project.
- ▶ During the presentation as per ‘Key Events and Tentative Dates, each applicant shall discuss their recommendation of technology solution(s) and approach towards:
 - A. Construction of CEPT
 - B. Operations & Maintenance for 15 years

1.8. Mode of Construction and Operations:

Sl. No.	Particulars	Client Responsibility	Firms/agencies Responsibility	Remarks
1.	Cost (Capex)	Yes	NA	Capex is to be funded by “ Authority ”. Agency to estimate the cost of capex as part of the proposal.
2.	Cost (Opex)	NA	Yes	Opex is to be funded by Firms/agencies
3.	Scope of Work: Construction and operations of a 7.5 MLD Common Effluent Treatment Plant (CETP)	Yes	Yes	Only permissible legal Construction and Operational activities
4.	O&M	NA	Yes	Firms/agencies responsibility till the concession period
5.	Concession Period	Yes	NA	Based on best project proposals with and updated technology, received
6.	Renewal of contract	Yes	NA	Equal to concession period
7.	Revenue		Yes	Upfront Premium or Revenue

	Sharing/Upfront premium			Sharing with the GIDA.
8.	Technical Specification	NA	Yes	Approval from GIDA is mandatory
9.	Detailed Architectural Design & Drawings	NA	Yes	Approval from GIDA is mandatory
10.	Detailed Civil/ Structural Design	NA	Yes	Approval from GIDA is mandatory
11.	Defect Liability	NA	Yes	2 Years

2 Eligibility Criteria

EOI of each Applicant shall be evaluated based on the following Eligibility Criteria:

Sl. No.	Criteria	Specific Requirement	Documents Required
1.	Applicant Entity	Legal entities duly registered under the Companies Act 1956 /2013, or, public/ semi-public entities which are financially autonomous. Entities registered under equivalent law / act in foreign countries may participate. However, they will need to be registered in India, if selected for this project's implementation	Certificate(s) of incorporations
2.	General Requirement	Applicant/consortium should be: Engaged at least in one large infrastructure projects of Rs 50 cr., preferably Government projects in last 10 years	Self-attested certificates / registrations / copy of documents to establish the general requirement conditions to be enclosed. MoU of the consortium clearly specifying the role and area of specialization of the individual partners should be submitted.
3.	Turnover	The applicant / lead partner in case of consortium shall have Average Annual turnover of at least Rs 100 Crores during the last three FYs (2017-18,2018-19,2019-20)	Certificate(s) from statutory auditors of the Applicant; Annual Audit Reports
4.	No Barring Certificate	Any entity which has been barred, by any public / semi-public agency / Central / State / Local Government, and the bar subsists as on the date of Application, would not be eligible, either individually or as member of a Consortium.	Undertaking by the authorized signatory as well as all members of consortium

- Only the applicants who have submitted EOI will be allowed to respond to the RFP as lead applicant submit their proposal for participation in the implementation of the project. The lead applicant may add new consortium partners as required during the RFP stage.
- In case of Consortium, Consortium agreement to be submitted with percentage share of each member, Lead member should have a minimum of 51% share in the Consortium. Consortium member should be jointly and severally responsible.

3 Forms

Form 1.0 TECHNICAL CAPABILITY OF THE APPLICANT

Sl. No.	Information	Response (with supporting documents to be attached)
1.	Name and address of the Applicant	
2.	Name, Designation, Address and Contact Details of the person to whom all references can be made in connection with this EOI	
3.	Legal Status	
4.	Product(s) / Service(s) Offered	
5.	Years in business.	
6.	Number of similar projects executed	
7.	Project Information –Name, Client, Scope, Technology used, Value, Start / End Dates, etc	(repeat for each project as per information under (4))
8.	Requirement to form any joint venture for providing any product / feature / service etc. under this project (Yes / No)	
9.	If Yes for (8) above, define the product / feature / service etc	
10.	If Yes for (8) above, define the product / feature / service etc	attach

Form 2.0 FINANCIAL CAPABILITY OF THE APPLICANT

(Supporting documents to be attached)

Sl. No.	Audited Balance sheet for following Financial Years	Annual Turn Over (in INR Crore.)
1.	2017-2018	
2.	2018-2019	
3.	2019-2020	

Form 4.0 FORMAT FOR DECLARATION BY THE APPLICANT FOR NOT BEING BLACKLISTED/DEBARRED

(To be submitted on the Letter head of the Applicant)

Date: dd/mm/yyyy

To

C.E.O

Gorakhpur Industrial Development Authority

Subject: Declaration for not being debarred/ black-listed by Central/ any State Government department in India as on the date of submission of the

Dear Sir,

I, authorized representative of, hereby solemnly confirm that the Company is not debarred / black-listed by any Central/State Government/ PSU entity in India or similar agencies globally for unsatisfactory past performance, corrupt, fraudulent or any other unethical business practices or for any other reason as on last date of submission of the Proposal. In the event of any deviation from the factual information/ declaration, GIDA reserves the right to reject the Proposal or terminate the Contract without any compensation to the Company.

Thanking you,

Yours faithfully,

Signature of Authorized Signatory (with official seal)

Date:

Name:

Designation:

Address:

Telephone & Fax:

E-mail address:

Form 5.0 FORMAT FOR POWER OF ATTORNEY

[To be executed on non-judicial stamp paper of the appropriate value in accordance with relevant Stamp Act. The stamp paper to be in the name of the company who is issuing the power of attorney]

Know by all men by these presents, We.....(Name of the Applicant and address of their registered office) do hereby constitute, appoint and authorize Mr./Ms.....(name and residential address of Power of attorney holder) who is presently employed with us and holding the position of as our Attorney, to do in our name and on our behalf, all such acts, deeds and things necessary in connection with or incidental to our Proposal for the “**EOI for “Selection of an Agency for the Construction and operations of a 7.5 MLD Common Effluent Treatment Plant (CETP) at the Gorakhpur Industrial Area”**”, including signing and submission of all documents and providing information / responses to the GIDA, representing us in all matters before GIDA, and generally dealing with the GIDA in all matters in connection with our Proposal for the said Project. We here by agree to rectify all acts, deeds and things lawfully done by our said Attorney pursuant to this Power of Attorney and that all acts, deeds and things done by our aforesaid Attorney shall and shall always be deemed to have been done by us.

For

Name:

Designation:

Date:

Seal:

Time:

Business Address:

Accepted,

(Signature) (Name, Title and Address of the Attorney)

Note:

- The mode of execution of the Power of Attorney should be in accordance with the procedure, if any, laid down by the applicable law and the charter documents of the executant(s) and when it is required the same should be under common seal affixed in accordance with the required procedure.
- The Power of Attorney shall be provided on Rs.200/-stamp paper.
- The Power of Attorney should be supported by a duly authorized resolution of the Board of Directors of the Applicant authorizing the person who is issuing this power of attorney on behalf of the Applicant.

Lead Member has to submit the Power of Attorney in favour of Authorized signatory in below given format in case of Consortium.

POWER OF ATTORNEY FOR LEAD MEMBER BY CONSORTIUM MEMBER

GIDA has invited proposal from interested companies for **“EOI for “Selection of an Agency for the Construction and operations of a Common Effluent Treatment Plant (CETP) at the Gorakhpur Industrial Area”**, ("Project"). Whereas, and(collectively the "Consortium") being members of the Consortium are interested in offering for the services in accordance with the terms and conditions of the EOI and other connected documents in respect of the Project, and; Whereas, it is necessary under the EOI document for the members of the Consortium to designate one of them as the Lead Member with all necessary power and authority to do for and on behalf of the Consortium, all acts, deeds and things as may be necessary in connection with the Consortium's application for the Project. Whereas, we have decided that M/s.....shall be Lead Member of this Consortium.

NOW THEREFORE KNOW ALL MEN BY THESE PRESENTS

We, having our registered office at....., (hereinafter referred to as the "Member") do hereby designate, nominate, constitute, appoint and authorize.....having its registered office at....., being one of the members of the Consortium, as the Lead Member and true and lawful attorney of the Consortium (here in after referred to as the “Attorney”). We here by irrevocably authorize the Attorney (with power to sub-delegate) to conduct all business for and on behalf the Consortium and any one of us during the process and, in the event the Consortium is awarded the Contract, during the execution of the project, and in this regard, to do on our behalf and on behalf of the Consortium, all or any of such acts, deeds or things as are necessary or required or incidental to the selection of the Consortium, including but not limited to signing and submission of all Applications, Proposals and other Documents and writings, participate in pre-proposal and other conferences, respond to queries, submit information/ documents, sign and execute contracts and undertakings consequent to acceptance of Application of the Consortium and generally to represent the Consortium in all its dealings with the GIDA and/or any other Government Agency or any person, in all matters in connection with or relating to or arising out of the Consortium's proposal for the above Project and/ or upon award there of till the Contract Agreement is entered into with the GIDA. AND hereby agree to ratify and confirm and do hereby ratify and confirm all acts, deeds and things lawfully done or caused to be done by our said Attorney pursuant to and in exercise of the powers conferred by this Power of Attorney and that all acts, deeds and things done by our said Attorney in exercise of the powers hereby conferred shall and shall always be deemed to have been done by us/ Consortium.

IN WITNESS WHEREOF WE THE MEMBER ABOVE NAMED HAVE EXECUTED THIS POWER OF ATTORNEY ON THIS DAY OF.....20

For

(Name & Title)

Witnesses:

1.

2.

(To be executed by the Member of the Consortium)

Note:

- The mode of execution of the Power of Attorney should be in accordance with the procedure, if any, laid down by the applicable law and the charter documents of the executant(s) and when it is so required the same should be under common seal affixed in accordance with the required procedure.
- Also, wherever required, the Applicant should submit for verification the extract of the charter documents and documents such as a resolution/power of attorney in favour of the person executing this Power of Attorney for the delegation of power hereunder on behalf of the Applicant.